



RESEARCH ENTERPRISE STRUCTURAL CHANGES

JOY GOTO

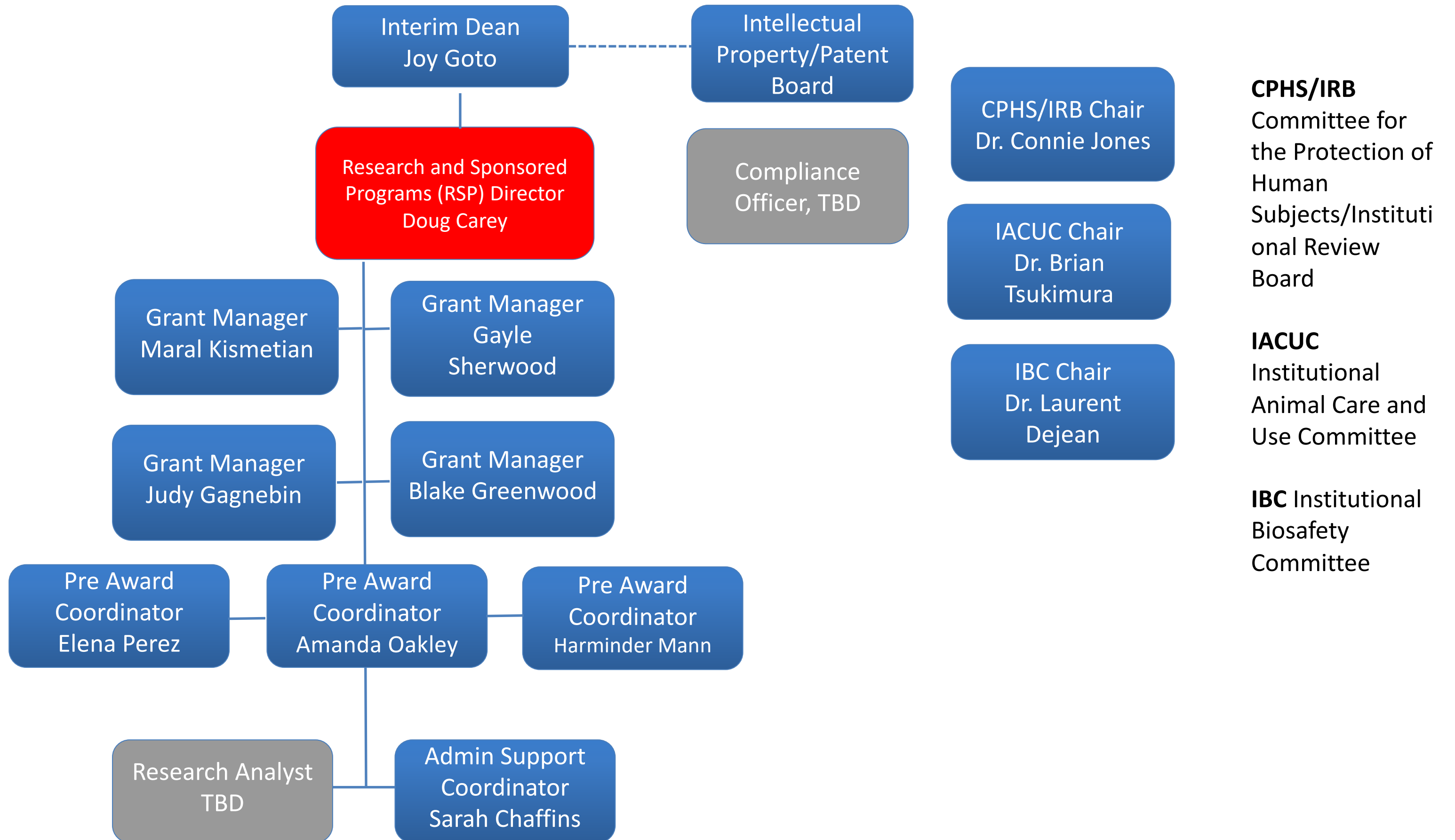
JGOTO@CSUFRESNO.EDU

INTERIM DEAN

FRESNO
STATE

Research and
Graduate Studies

Division of Research and Graduate Studies (DRGS)



Structure

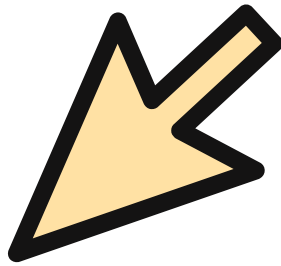
Pre-Award

Post-Award



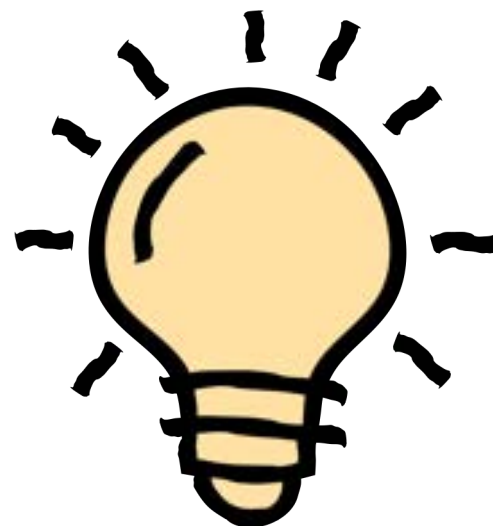
Growth





Research Challenges

- Research is perceived as an **unfunded mandate for faculty workload**
- **No/insufficient \$ for:**
 - assigned time**
 - facility/equipment** repairs & renovations/upgrades
 - student research support**
- **Facility renovations are slow** when funds are already ear-marked
- **Post-award infrastructure** is not sufficient for project progress
 - Cost-center setup time
 - Tracking of research orders and hiring
 - Mailroom delivery of rush & perishable orders are not timely
 - Budget reporting software



University Strategic Plan – Goal 3B

Cultivate regional progress and global impact by enhancing research

Strategy 1: Enhance research capacity.

Strategy 2: Balance teaching and research.

Strategy 3: Augment grant opportunities.

Strategy 4: Cultivate faculty creativity.

THE MOTIVATION

All of our institutions differ in organizational structure (administrators/staff/classifications)

Institutional priorities, goals, expectations differ – (change with leadership)

Need: Assess the integration of stakeholder goals & expectations
with the research enterprise

Goal: Operationalize an effective sponsored programs administration



A DEFINITION

What are the standards that are evaluated and later assessed by the stakeholders
National Council of University Research Administrators (NCURA) Peer Review Standards

<https://www.ncura.edu/InstitutionalPrograms/PeerReviewPrograms.aspx>





1. **Institutional Planning and Investment** in the Sponsored Projects Enterprise

Priorities, strategic plans, mission at all levels.
Action plans and metrics review

2. Sponsored Projects Enterprise **Components and Structure**

Offices, structure –central or unit
Standing committees and staff development

3. Research Administration **Communication and Outreach**


Timely, regular communication to all stakeholders. Outreach to community – faculty, students, public

4. **Faculty Engagement and Burden**

Reduce or manage time and burden on faculty
Opportunities to discuss challenges

5. Research Administration **Policy and Risk Assessment**

Policies and processes for assessing risk
Development, review, continuity





6. Research Administration **Systems and Data Management**

Information systems and integration with various levels; pre- and post-

7. Institutional Sponsored Projects **Partnerships and Associations**

Other university systems, hospitals, institutes, agencies; non-employed individ.

8. Research Development Operations

Development within the institution and with other agencies. Capacity building, grantsmanship

9. Sponsored Projects Operations

Proposal review, submissions
Award review & negotiations
Acceptance, activation, notification

10. Research Compliance Standards

Export controls; CPHS/IRB; IACUC; Biosafety
Misconduct





1. Institutional Planning and Investment in the Sponsored Projects Enterprise

2. Sponsored Projects Enterprise Components and Structure

3. Research Administration Communication and Outreach

4. Faculty Engagement and Burden

5. Research Administration Policy and Risk Assessment

6. Research Administration Systems and Data Management

7. Institutional Sponsored Projects Partnerships and Associations

8. Research Development Operations

9. Sponsored Projects Operations

10. Research Compliance Standards



Sample Itineraries

Two-Day Central Sponsored Programs

Day 1 of 2	
Transportation Pick-up Information	
Location of Day's Meetings (all meetings in one location)	
Time	Meeting
8:00 - 8:45	Entrance Meeting Name, Provost and Vice President for Academic Affairs
8:45 - 9:00	Executive Session
9:00 - 9:45	Name, Vice President for Research and Dean of Graduate Studies Name, Vice President for Finance and Administration
9:45 - 10:00	Executive Session
10:00 - 10:45	Name, Interim Director of Sponsored Programs
10:45 - 11:00	Executive Session
11:15- 12:00	Faculty (list all names and departments)
12:00 - 1:00	Lunch and Executive Session
1:00 - 1:45	OSP Staff (list all names and positions)
1:45 - 2:15	Name, University President
2:15 - 2:30	Executive Session
2:30 - 3:45	Compliance (University Compliance Office, IRB Chair, IACUC Chair, EH&S, Biosafety, Lab Safety, Chemical Safety, Conflict of Interest)
3:45 - 4:00	Executive Session
4:00 - 5:00	OSP Support Staff (list all names and positions)
Transportation Pick-up Information	

Day 2 of 2	
Transportation Pick-up Information	
Location of Day's Meetings (all meetings in one location)	

Time	Meeting
8:00 - 8:45	Grants and Contracts Accounting (staff and positions listed)
8:45 - 9:15	Internal Auditor
9:15 - 9:30	Executive Session
9:30 - 10:00	Name, Research Foundation President
10:00 - 10:30	Name, University Legal Counsel
10:30 - 10:45	Executive Session
10:45 - 11:45	Academic Deans (list all names and colleges)
11:45 - 12:30	Lunch and Executive Session
12:30 - 1:30	College grant support staff (list names and colleges)
1:30 - 3:00	Open call-back time period
3:00 - 3:30	Executive Session: final prep for exit meeting
3:30 - 5:00	Exit Meeting Associate Provost Provost/President
Transportation Pick-up Information	